

32692

Customer Number



Patent

Case No.: 58581US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: **EBERMAN, KEVIN W.**Application No.: **10/723,511**Confirmation No.: **8947**Filed: **November 26, 2003**Title: **SOLID STATE SYNTHESIS OF LITHIUM ION BATTERY  
CATHODE MATERIAL**INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
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P.O. Box 1450  
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## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

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*Nov. 3, 2006*  
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*Susan P. Gumatz*  
Signed by: Susan P. Gumatz

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, and non-patent literature documents are enclosed. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

By:

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Date

*03-NOV-2006*

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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| Substitute for form 1449A/PTO (modified)<br><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary)<br>NOV 07 2006<br>Page 1 of 8 | Application Number   | 10/723,511        |
|   | Filing Date          | November 26, 2003 |
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|                          | B75      | JP                      | 2002-63900                 | 02/28/2002                     |   |   | Abstract only                     |
|                          | B76      | JP                      | 2002-110253                | 04/12/2002                     |   |   | Claims only                       |
|                          | B77      | JP                      | 3301931                    | 04/26/2002                     |   |   | Claims only                       |
|                          | B78      | JP                      | 3308232                    | 05/17/2002                     |   |   | Claims only                       |
|                          | B79      | JP                      | 2002-151076                | 05/24/2002                     |   |   | Claims only                       |
|                          | B80      | JP                      | 3318941                    | 06/21/2002                     |   |   | Claims only                       |
|                          | B81      | JP                      | 2002-529361                | 09/10/2002                     |   |   | Claims only                       |
|                          | B82      | JP                      | 2002-530260                | 09/17/2002                     |   |   | Claims only                       |
|                          | B83      | JP                      | 3356157                    | 10/04/2002                     |   |   | Claims only                       |
|                          | B84      | JP                      | 3378222                    | 12/06/2002                     |   |   | Claims only                       |
|                          | B85      | JP                      | 2003-238165                | 08/27/2003                     |   |   | X                                 |
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| <b>Substitute for form 1449A/PTO (modified)</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary)<br><br>Page 7 of 8 | Application Number   | 10/723,511        |
|   | Filing Date          | November 26, 2003 |
|   | First Named Inventor | Eberman, Kevin W. |
|   | Confirmation Number  | 8947              |
|   | Attorney Case Number | 58581US002        |

| OTHER DOCUMENTS |          |  |                            |
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| Exam. Init.*    | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published                           | Translation (Check if yes) |
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|                 | C21      | Quine et al., "Layered Li <sub>x</sub> Mn <sub>1-y</sub> Ni <sub>y</sub> O <sub>2</sub> intercalation electrodes", <i>J. Mater. Chem.</i> , 10, (2000), pp. 2838-2841   |                            |
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